Nasdaq, Inc. - Common Stock

SETTLEMENT DATE	SHORT INTEREST	PERCENT CHANGE	AVERAGE DAILY SHARE VOLUME	DAYS TO COVER
03/13/2020	3,962,551	2.60	1,384,554	2.90
02/28/2020	3,862,284	16.93	1,303,748	2.96
02/14/2020	3,303,162	1.71	683,009	4.84
0\/31/2020	3,247,480	10.29	786,538	4.13
01/15/2020	2,944,496	(8.49)	576,621	5.11

Nasdaq Short Interest Report

H Kauffman

Nasdaq Short Interest Report:

SEC Docket United States. Securities and Exchange Commission,1995 Federal Register .2013 Report of the Special Committee of the Regulatory Review Task Force on the Quality of Markets National Association of Securities Wall Street Journal Index ,2003 Securities Regulation & Law Report ,2000 sec docket vol. 57 Dealers.1988 The Wall Street Journal ,2004 **Annual Report** National Association of Securities Dealers, 1991 .1994 Broker-Dealer Institute ,1986 **Information Circular** ,1999 Stockbroker Supervision Ralph C. Ferrara, David W. Rivkin, Gregory S. Crespi, 1989 Do Investors Trade More when Stocks Have Performed Well? Jean Helwege, John Meredith Griffin, Karl B. Diether, Mark Steven Carey, Moon H. Song, Ralph August Walkling, René M. Stulz, 2005 Stock Market Rules: The 50 Most Widely Held Investment Axioms Explained, Examined, and Exposed, Fourth Edition Michael Sheimo, 2012-11-13 50 RULES FOR BEATING THE STREET IN ANY MARKET CONDITIONS INVESTING The go to stock investing guide for more than a decade Stock Market Rules gives you the knowledge and clarity you need to invest like the wizards of Wall Street This proven guide reveals the unwritten rules on which Wall Street investors have long relied to help you draw outsized profits even in volatile markets Stock Market Rules Fourth Edition analyzes 50 maxims to show you which ones work which ones used to work but don't anymore and which ones are and always have been dangerously wrong Examples include RULE 6 It's Always a Bull Market There will always be a long term buying bias to the stock market because if there isn t the market will cease to exist Sheimo writes RULE 22 Buy the Stock That Splits After explaining the mechanics of a stock split and reviewing post split behavior of specific stocks Sheimo determines that a split alone is no reason to buy a stock RULE 48 There's Always a Santa Claus Rally There is a repetitive tendency of the stock market to rally between the months of November and December Sheimo says An investor can take advantage of such rallies Stock Market Rules provides market proven techniques and insights that will dramatically improve your investing knowledge confidence and results

NASD Manual National Association of Securities Dealers,2006 Journal of Investment Management ,2009

<u>Broker-dealer Regulation</u> ,2007 Fact Book ,1994 F&S Index United States Annual ,1999 Federal Securities

Law Reporter ,1941 The Quarterly Review of Economics and Finance ,2009-08

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