The Broker Booth Support System" (BBSS is a strategic, full-service order management system (GMS) supporting straight-through electronic order processing (STF ¹¹) and reporting for member firms on the Boor of the Exchange. With BBSS, a member firm can choose to route orders electronically directly to the trading post or to a floor broker.

NYSE e-Broker* is a wireless handheld order-management tool that helps floor brokers trade faster and more efficiently white staying connected to their firms' floor booths and trading dosks. With the e-broker brokers can receive and view orders, electronically send orders to the specialist display book, track messages, retneve market information and carry out various other related transactions.

A new and more powerful order management tool called NYSE Tradeworks is in its pilot stage and is slated to offer full order management capability, the same functionality as 86SS but with greater scalability, inch, new features and an improved user interface.

SMALL ORDER EXECUTION SYSTEM (SOES)

SGES is an automatic order execution for individual traders with orders less than or equal to 1000 shares. The lack of liquidity after the 1987 market crash lead the NASDAQ to implement this mandatory system to provide automatic order execution for individual traders with orders less than or equal to 1000 shares."

There are several restrictions for those who are using SOES, rather than a traditional ECN, to place their orders."

- Trades may not be in excess of 1000 shares for a particular stock.
- SOES doesn't not allow trades in stocks that are trading at prices greater than \$250 per share.

^{**} STP (Straight Through Processing), the ability to process a stock transaction by computer from beginning to end without manual intervention at any of the stages. Presently only a concept, STP represents a major shift from present day T is trading towards same day satisfament by removing redundant operations and utilizing electronic transfers.
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^{**} http://www.meeshipedia.com/university/electronstrading/tradingst.asi

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R Barnett

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